

DECLARATION OF ACCREDITED INVESTOR OR EXPERT INVESTOR STATUS (INDIVIDUAL/JOINT)

1a. ACCREDITED INVESTOR QUALIFICATION

I am able to meet at least one of the criteria below and would like to opt in as an accredited investor. *(Please select at least 1 option)*

(A) Net Personal Assets	(B) Net Financial Assets	(C) Personal Income
<p><input type="checkbox"/> My net personal *assets exceed S\$2,000,000 (or its equivalent in a foreign currency) in value</p> <p><i>*No more than S\$1,000,000 in value should be contributed by the net estimated fair market value of your primary residence</i></p>	<p><input type="checkbox"/> My financial assets (net of any related liabilities) exceed S\$1,000,000 in value (or its equivalent in a foreign currency)</p>	<p><input type="checkbox"/> My income in the preceding 12 months exceeds S\$300,000 (or its equivalent in a foreign currency).</p>

1b. EXPERT INVESTOR

- My business involves the acquisition and the disposal, or the holding, of capital markets products, whether as principal or agent.

Please provide supporting documents to your declaration above. The list of supporting documents needed and additional information on the computation is available in the next page.

2. IMPORTANT NOTE

- a. Before you make a decision on whether you wish to be treated as an accredited investor, please read the Important Information and General Warning on our website. You can access the information via www.utrade.com.sg/home/InvestorNotes.html or by scanning the QR code provided.
- b. For Joint Account Holder whose basis for opting to be treated as accredited investor is that he/she holds a joint account with the accredited investor and who has consented to be treated as an accredited investor, please note point 3e. below.



3. DECLARATION

- a. *I/We have read the *Important Information for Accredited Investor* and understand the consequences of consenting to be treated by you as an accredited investor. I/We consent to being treated by you as an accredited investor.
- b. *I/We know that I/we may at any time withdraw my/our consent to be treated as an accredited investor. You will cease to treat me/us as an accredited investor upon receiving my/our written instruction.
- c. I/We hereby declare that all documents that are to be provided are correct, true and accurate and I/we have not willfully withheld any material fact.
- d. I/We endeavour to notify UOBKH immediately should there be any changes on my/our circumstances which would result in a change of investor status.
- e. *I, the joint account holder (if applicable), confirm that I wish to be treated as an accredited investor as defined in section 4A of the SFA. I acknowledge and accept that, having opted in to accredited investor status, this treatment will apply to all of my transactions with you in respect of dealings through my joint account with the accredited investor.

**Clauses are applicable to clients declaring Accredited Investor status.*

SIGNATURE AND CONSENT

Signature of Main Account Holder

Name: _____
NRIC/Passport No: _____
Contact No: _____

Signature of Joint Account Holder

Name: _____
NRIC/Passport No: _____
Contact No: _____

ANNEX

SUPPORTING DOCUMENTS AND ADDITIONAL INFORMATION FOR ACCREDITED INVESTOR

Criteria	Computation	Supporting Documents
A. Net Personal Assets	<p>You may calculate your net personal assets by subtracting your liabilities from the total value of your assets.</p> <p>No more than S\$1,000,000 in value should be contributed by the net estimated fair market value of your primary residence</p>	<ul style="list-style-type: none">• Latest bank account statement/CPF statement; or• Latest statement of holdings from CDP/brokerage firm(s); or• A copy of the title deed of your primary residence.
B. Net Financial Assets	<p>You may calculate your financial assets by totalling the value of any of the following assets that you own:</p> <ul style="list-style-type: none">• a deposit as defined in section 4B of the Banking Act (Cap. 19);• an investment product as defined in section 2(1) of the Financial Advisers Act (Cap. 110); or• any other asset prescribed as a "financial asset" for purposes of establishing accredited investor status by MAS. <p>For the purpose of assessing whether you are an accredited investor, please note that only the cash in your CPF account after setting aside the Full Retirement Sum in the Retirement Account can be considered</p>	<ul style="list-style-type: none">• Latest bank account statement; or• CPF statement (dated no earlier than 3 months)
C. Personal Income	<p>You may calculate your personal income by totalling 12 months of your income, including bonuses.</p>	<ul style="list-style-type: none">• A copy of your pay slip (dated no earlier than 3 months); or• A copy of your employment contract stating your position and income• A copy of your latest IRAS statement

SUPPORTING DOCUMENTS FOR EXPERT INVESTOR

- Copy of name card; or
- Copy of letter of employment stating position (dated within 3 months)

For Office Use Only	
Signature Verified By/Date:	Data Entry By/Date: